



DEP 3 REF

EXHIBIT

**PATENT**  
8964-000004/US

JAN 31 2007 4:29

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Huai-Jen TSAI  
Application No.: 10/632,794 Group: 1632  
Filing Date: July 8, 2005 Examiner: Valarie Bertoglio  
For: NOVEL TRANSGENIC ZEBRAFISH, GENE FRAGMENTS AND  
METHODS FOR PRODUCING TRANSGENIC ZEBRAFISH  
**ATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE**

Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

January 31, 2007

**REQUEST FOR A REFUND**

Sir:

Pursuant to 37 C.F.R. § 1.28(a), Applicants hereby request a refund of 50% of the Petition of Extension of Time fees paid on January 16, 2007. The present application qualifies for small entity status which is entitled to the reduced fees.

Accordingly, as the extension of time fees were paid by check on January 16, 2007 in the amount of \$1020.00 (large entity), it is respectfully requested that the excess fee of \$510.00 be credited to Deposit Account No. **08-0750**.

Favorable action on the present Request is respectfully requested.

Attorney Docket No: 8964-000004/US  
Application No.: 10/632,794

Should there be any outstanding matters that need to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number of the undersigned below.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

By: 

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<sup>JAC</sup>  
JAC/GPB:ame



M/S AMENDMENT  
Attorney Docket No. 8964-000004/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT(S): Huai-Jen TSAI CONF. NO.: 5554  
APPL. NO.: 10/632,794 ART UNIT: 1632  
FILED: August 4, 2003 EXAMINER(S): Valarie E. Bertoglio  
ENTITLED: NOVEL TRANSGENIC ZEBRAFISH, GENE FRAGMENTS  
AND METHODS FOR PRODUCING TRANSGENIC  
ZEBRAFISH

RESPONSE UNDER 37 C.F.R. § 1.111

January 16, 2007  
January 13 = Saturday  
January 15 = Federal Holiday

M/S AMENDMENT  
Commissioner for Patents  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the Office Action dated July 13, 2006, ("Action") for the above-identified patent application, the deadline for response having been extended by three (3) months to January 13, 2007, please amend the claims as indicated and consider the remarks below. The sections included in this response are as follows:

Amendments to the Specification begin on page 2 of this paper;

Amendments to the Claims begin on page 5 of this paper; and

a Remarks section begins on page 11 of this paper

01 FC:1253 1020.00 DP

	Independent Claims	Total Claims
Claims Previously Paid For	3	20
Claims After Amendment	3	10
Excess Claims	0	0

Adjustment date: 02/13/2007 SFELEKE1  
01/17/2007 JADD01 00000046 10632794  
01 FC:1253 -1020.00 DP

Repln. Ref: 02/13/2007 SFELEKE1 0011271200  
DA# 080750 Name/Number: 10632794  
FC: 9204 \$510.00 CR

02/13/2007 SFELEKE1 00000009 10632794  
01 FC:2253 510.00 DP